

ATTORNEY'S DOCKET NUMBER

21415-0014

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/524539  
Unassigned

INTERNATIONAL APPLICATION NO.

PCT/AU2003/001021

INTERNATIONAL FILING DATE

12 August 2003 (12.08.2003)

PRIORITY DATE CLAIMED

12 August 2002 (12.08.2002)

TITLE OF INVENTION

IMMUNOMODULATING COMPOSITIONS, PROCESSES FOR THEIR PRODUCTION AND USES THEREFOR

APPLICANT(S) FOR DO/EO/US

Ranjeny THOMAS and John Brendan O'SULLIVAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ had been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 132 and 37 CFR 1.821-1825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Cover Page of Published Applic. (A3); Form PCT/IB/304, PCT/ISA/220, PCT/ISA/210, PCT/IB/308, PCT/IPEA/416, PCT/IPEA/409

*U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
Unassigned <b>10/524539</b>		<b>PCT/AU2003/001021</b>		<b>21415-0014</b>	

21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee.....\$300.00 <input checked="" type="checkbox"/> b) Examination fee.....\$200.00 <input checked="" type="checkbox"/> c) Search fee.....\$500.00  <div style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></div>				<b>CALCULATIONS PTO USE ONLY</b>   <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"><b>\$ 1,000.00</b></td> <td style="width: 50%;"></td> </tr> <tr> <td><b>\$ 250.00</b></td> <td></td> </tr> </table>		<b>\$ 1,000.00</b>		<b>\$ 250.00</b>	
<b>\$ 1,000.00</b>									
<b>\$ 250.00</b>									
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<b>\$ 250.00</b>					
TOTAL SHEETS	EXTRA SHEETS	NUMBER EXTRA (of each additional 50 or fraction thereof rounded up to a whole number)	RATE						
112-100 =	12/50 =	1	x \$250.00						
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE						
Total claims	- 20 =	0	x \$50.00	<b>\$</b>					
Independent claims	- 3 =	0	x \$200.00	<b>\$</b>					
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	<b>\$</b>					
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$</b>					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<b>\$</b>					
<b>SUBTOTAL =</b>				<b>\$ 1,250.00</b>					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$</b>					
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,250.00</b>					
Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				<b>\$</b>					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,250.00</b>					
				<b>Amount to be refunded:</b>	<b>\$</b>				
				<b>Amount to be charged:</b>	<b>\$</b>				

a. ☒ A check in the amount of **\$ 1,250.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

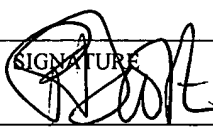
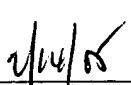
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment  
To Deposit Account No. **08-1641** A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

**Paul M. Booth**  
**HELLER EHRMAN WHITE & MCAULIFFE**  
 1666 K Street, N.W., Suite 300  
 Washington, D.C. 20005  
 Customer No. : 26633

 SIGNATURE	 Date
NAME	Date
<b>Paul M. Booth</b> February 14, 2005	
REGISTRATION NUMBER <b>40,244</b>	